



12-10-01

2772  
2871

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 03797.87364)

In the Application of:

Dunietz et al.

Serial No. 09/552,262

Filed: April 19, 2000

For: PRE-COMPUTING AND ENCODING TECHNIQUES  
FOR AN ELECTRONIC DOCUMENT TO IMPROVE  
RUN-TIME PROCESSING

Group Art Unit: 2772

Examiner: not assigned

**INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicants wish to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. 1.56. Copies of the references cited below are enclosed.

The references are also listed on the enclosed and completed PTO Form 1449.

**REFERENCES**

**Foreign Patent Documents**


1. PCT International Publication No. WO 00/23911, published April 27, 2000; and
2. PCT International Publication No. WO 97/10541, published March 20, 1997.

**Other Prior Art**

1. International Search Report; and
2. DataBase INSPEC/IEE.

Respectfully submitted,  
BANNER & WITCOFF, LTD

Dated: December 5, 2001

By:   
Charles L. Miller  
Reg. No. 43,805

RECEIVED  
DEC 19 2001  
Group 2100

RECEIVED  
DEC 13 2001  
TC 2800 MAIL ROOM



Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/552,262
Filing Date	April 19, 2000
First Named Inventor	Dunietz
Group Art Unit	2772
Examiner Name	n/a
Attorney Docket Number	03797.87364

Total Number of Pages in This Submission

4

### ENCLOSURES (check all that apply)

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><b>Return Receipt Postcard Form PTO-1449 (2 pages) with cited references</b> |
|--|---|---|

Remarks

The Commissioner is hereby authorized to charge any deficiencies in payment or credit any overpayment to our Deposit Account No. 01-0850.

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name  
Charles L. Miller

Signature

Date

December 5, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**CERTIFICATE OF MAILING**  
(NEW PATENT APPLICATION)

Express Mail No. EL929162684US

Deposited: December 5, 2001

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

By: Tony Harris

Application of: Dunietz et al.

Application No.: 09/552,262

Filing Date: April 19, 2000

Title: Pre-Computing And Encoding Techniques For An Electronic Document To Improve Run-Time Processing

Transmitted herewith are the following documents:

- X Transmittal Form (1 page) in duplicate
- X Information Disclosure Statement (1 page)
- X Form PTO-1449 (2 pages) with cited references
- X Return Postcard

Attorney Case No.: 03797.87364